



*Sector \$*  
PATENT APPLICATION

Attorney Docket No. 69971

Date: July 25, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Willebrand, Heinz

CERTIFICATE OF MAILING

Appln No.: 09/835,866

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date,

Filed: April 16, 2001

7/25/01

  
Richard E. Wawryzniak  
Registration No. 36,048  
Attorney for Applicant(s)

For: AN INTEGRATED ENVIRONMENTAL  
CONTROL AND MANAGEMENT SYSTEM  
FOR FREE-SPACE OPTICAL  
COMMUNICATION SYSTEMS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated June 8, 2001, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) Five (5) sheets of substitute drawings in compliance with 37 C.F.R. § 1.84 having the appropriate margins.
- (X) An executed Declaration for Patent Application, including Power of Attorney.
- (X) Assignment(s) of the invention to LightPointe Communications, Inc., and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- (X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s).
- (X) Fee Calculation:

Fee Calculation For Claims As Filed

( ) Provisional Application Basic Fee	\$ 150.00	\$ _____
• Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	\$ _____

or

(X) Non-Provisional Utility Application \$710.00 \$ 710.00

Basic Fee

- Independent 5 - 3 = 2 x \$ 80.00 = \$ 160.00  
Claims
- Total Claims 30 - 20 = 10 x \$ 18.00 = \$ 180.00
- Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00

Total Filing and/or Surcharge Fee \$ 1,180.00

(X) Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ 590.00

(X) A check in the amount of \$ 590.00 to cover the Filing and/or Surcharge Fee is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in duplicate.

July 25, 2001



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,866	JUL 30 2001 04/16/2001	Heinz Willebrand	69971



22242  
 FITCH EVEN TABIN AND FLANNERY  
 120 SOUTH LA SALLE STREET  
 SUITE 1600  
 CHICAGO, IL 606033406

CONFIRMATION NO. 5585

## FORMALITIES LETTER



\*OC000000006164620\*

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 10 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 590.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

355.00 00 00

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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08/01/2001 MAHMEDE

01 FC:201  
 02 FC:202  
 03 FC:203  
 04 FC:205

*A copy of this notice **MUST** be returned with the reply.*

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## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

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